



# SAM for CPA Firms: AI Without Compromising Client Confidentiality

Your competitors are using AI to work faster. Your compliance officer just banned it. SAM resolves this tension — delivering full AI capability while keeping every client file exactly where it belongs: on your hardware, under your control, and fully compliant with AICPA standards.

AICPA COMPLIANT

LOCAL AI

CPA-SPECIFIC

# The Problem Your Firm Faces

"No ChatGPT. No Claude. No cloud AI. Any employee violating this risks termination."

This isn't paranoia — it's liability management. The regulatory exposure is real and immediate:

## **AICPA Code § 1.700.001**

Requires strict confidentiality of client tax returns and financial data. A single breach can trigger license review.

## **Peer Review Audits**

Auditors now specifically check whether firms used unapproved AI. Malpractice insurance explicitly excludes cloud AI losses.

## **Client Contracts**

Most engagement letters explicitly forbid uploading client data to third-party servers — including AI platforms.

## **What You Want**

AI to accelerate tax prep, financial analysis, and compliance workflows — just like every other industry.

## **The Catch**

Cloud AI requires uploading returns and financials to third-party servers. So you ban AI entirely — while competitors in less-regulated industries race ahead.

# Why Cloud AI Violates AICPA Standards

When you upload a client tax return to ChatGPT, you trigger a chain of liability that no good intentions can reverse.

1

## You Upload

SSNs, income, deductions sent to OpenAI servers

2

## OpenAI Trains

Their terms allow model training on submitted data

3

## Breach Occurs

Your firm is liable — not OpenAI

4

## You're Exposed

No E&O coverage. License under review.

## AICPA Says

"You had a duty to keep that data confidential. You broke that duty when you sent it to OpenAI."

## Your Carrier Says

"We explicitly excluded AI-related claims. You're not covered for this loss."

## Your State Board Says

"You violated Code § 1.700.001. License under review — regardless of your intentions."

⊗ Cloud AI = you lost control of the data. Regulators don't care about good intentions — they care about control.

# How SAM Keeps Data Local

SAM is purpose-built for accountants who need AI capability without sacrificing compliance. Every architectural decision prioritizes keeping your client data exactly where it belongs.



## Local Storage, Local Processing

Client tax returns and financials live in secure OneDrive folders synced to a Mac mini in your office. SAM reads them locally — like a smart junior accountant reviewing the file — with **zero API calls to external servers.**



## Free Local AI for Routine Work

Tax planning analysis, schedule summaries, and compliance checklists run on local AI models (Gemma 2, Qwen, DeepSeek) entirely on your Mac mini. Functionally free — no per-task cost, no cloud dependency.



## Safe Cloud AI for Complex Work

For aggressive tax strategies or multi-entity planning, SAM extracts **only the question or summary** — never the raw return — and sends that to cloud AI for reasoning. Full audit trail included for Peer Review.

- ✓ Your state board sees: "This firm used AI, and their data control was flawless." Hardware attestation and Watchdog/Birddog logs prove it — every access, every action, documented.

# Cost Advantage & Next Steps

The Numbers: 10-Person Firm

## \$20K

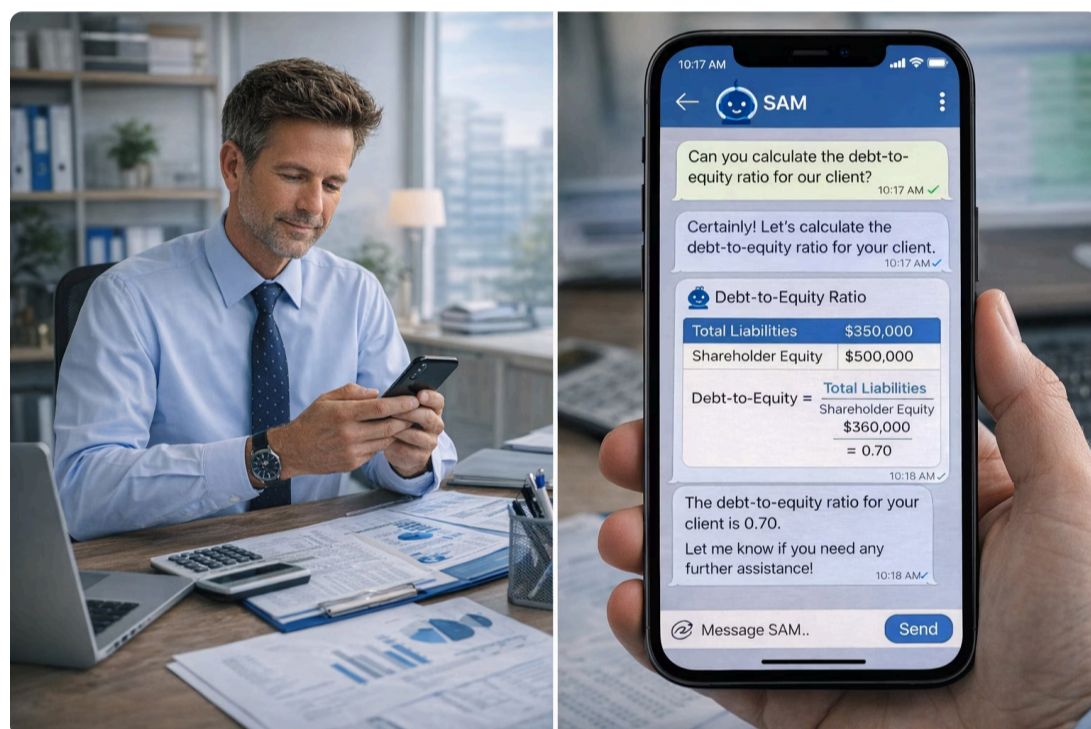
Avg. Cloud AI Cost

ChatGPT Pro + API calls annually for 10 users

## \$5K

SAM Annual Cost

Entire firm license + minimal cloud AI usage



## \$65K

3-Year Savings

Cumulative savings after hardware investment

No vendor lock-in. No per-seat fees. No surprise bills. You own the system.

### Get Started in 5 Steps

01

#### Workflow Assessment

Tax prep, planning & compliance review

02

#### Deploy in 2 Days

Mac mini + your secure OneDrive setup

03

#### Peer Review Docs

AICPA compliance proof provided

04

#### 2-Hour Training

Your whole team, up and running

05

#### See the Gains

Efficiency wins visible in month one

"Our firm uses AI responsibly. We keep your data secure. See our audit trail." — Your competitive differentiator in a market full of 'no AI' firms.

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